

# Approximate Energy Minimization via Graph Cuts

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## Recall: Local search optimization

- Local search algorithms are a class of combinatorial optimization algorithms.
- Starting from a feasible solution, they iteratively try to obtain a better solution by searching the "neighborhood" of the current solution.
- A critical issue is the manner in which the neighborhood is defined. Larger neighborhoods generally improve the quality of the solution, but increase the computation time.

# Very large-scale neighborhood search

- We now turn our attention to a special class of local search methods, where
  - ① the size of the neighborhood is “very large” with respect to the size of the input data.
  - ② the neighborhood can be searched in an efficient manner.
- A number of such methods have been proposed in the literature [1].
- In this lecture, we will consider an approach using minimal graph cuts. [2].

# Move-making algorithms

- Local search methods are also often referred to as *move-making* algorithms.
- The solutions adjacent to a solution  $S$  are those that can be obtained from  $S$  in a single *move*.

## Standard moves

- A *standard move* consists of changing the label of a single vertex.
- A local minimum with respect to standard moves thus means that we cannot decrease the energy by changing the label of a single vertex. This is a rather weak optimality condition
- In order to get to a *global* optimum (or even a “good” local optimum) from a particular starting point, we must be able to reach the desired optimum by changing the label of one vertex at a time *and each such operation must improve the solution.*

# Graph cuts with more than two terminals?

- Using minimal s-t cuts, we can compute a *binary* labeling  $L$  of a graph (image) that globally minimizes cost functions of the form

$$E(L) = E_{data}(L) + E_{smooth}(L) \quad (1)$$

where  $E_{data}$  is a sum of unary term and  $E_{smooth}$  is a sum of binary terms.

- Unfortunately, computing globally minimal graph cuts for more than two terminals is NP-hard. Therefore, we can not compute globally optimal solutions for more than two labels.

# Approximate energy minimization with graph cuts

- In a paper from 2001 [2], Boykov et al. proposed two types of large moves based on minimal graph cuts:
  - $\alpha$ - $\beta$ -swap moves.
  - $\alpha$ -expansion moves.
- In contrast to standard moves, both these moves allow a large number of vertices to change their labels simultaneously.
- This paper has more than 5000 citations according to google scholar!

## $\alpha$ - $\beta$ -swap moves.

- Given a pair of labels  $\alpha, \beta$ , a move from a labeling  $L_1$  to a labeling  $L_2$  is called an  $\alpha$ - $\beta$ -swap move if the only difference between  $L_1$  and  $L_2$  is that
  - some vertices that were labeled  $\alpha$  in  $L_1$  are labeled  $\beta$  in  $L_2$ , and
  - some vertices that were labeled  $\beta$  in  $L_1$  are labeled  $\alpha$  in  $L_2$ .



$\alpha$ - $\beta$ -swap moves.



Figure 1: Example of an  $\alpha$ - $\beta$ -swap move. The labeling on the right is a “dark gray”-“black”-swap move from the labeling on the left.

## $\alpha$ -expansion moves.

- Given a label  $\alpha$ , a move from a labeling  $L_1$  to a labeling  $L_2$  is called an  $\alpha$ -expansion move if the only difference between  $L_1$  and  $L_2$  is that some vertices that were not labeled  $\alpha$  in  $L_1$  are labeled  $\alpha$  in  $L_2$ .

## $\alpha$ -expansion moves.

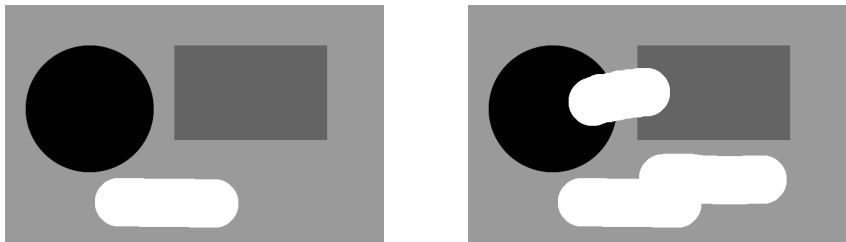


Figure 2: Example of an  $\alpha$ - $\beta$ -swap move. The labeling on the right is a “white”-expansion move from the labeling on the left.

# Optimization algorithm

- Given a labeling  $L$ , there is an exponential number of possible swap and expansion moves.
- Checking these moves naively requires exponential time if performed naively.
- Instead Boykov et al. propose efficient methods to find the optimal  $\alpha$ - $\beta$ -swap or  $\alpha$ -expansion move given a current labeling, using minimal graph cuts.
- The two algorithms are similar in structure.

# Swap move algorithm

Start with an arbitrary labeling  $L$ .

Set  $\text{done} \leftarrow \text{false}$ .

**while** *not done* **do**

    Set  $\text{done} \leftarrow \text{true}$ .

**for** *each pair of labels  $\alpha$  and  $\beta$*  **do**

        Find, among all labelings within one  $\alpha$ - $\beta$  swap from  $L$ , the labeling  $L'$  with the lowest energy.

**if**  $f(L') < f(L)$  **then**

            Set  $L \leftarrow L'$ .

            Set  $\text{done} \leftarrow \text{false}$ .

**end**

**end**

**end**

# Expansion move algorithm

Start with an arbitrary labeling  $L$ .

Set  $\text{done} \leftarrow \text{false}$ .

**while** *not done* **do**

    Set  $\text{done} \leftarrow \text{true}$ .

**for** *each label*  $\alpha$  **do**

        Find, among all labelings within one  $\alpha$  expansion from  $L$ , the labeling  $L'$  with the lowest energy.

**if**  $f(L') < f(L)$  **then**

            Set  $L \leftarrow L'$ .

            Set  $\text{done} \leftarrow \text{false}$ .

**end**

**end**

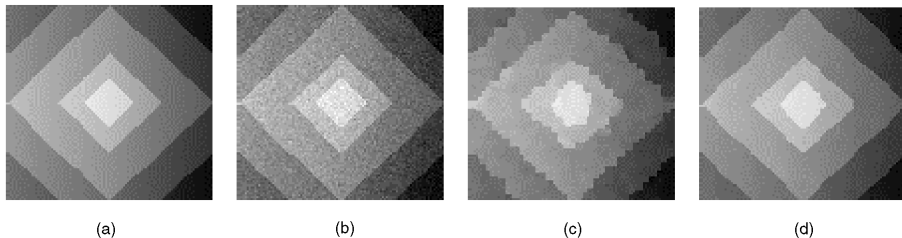
**end**

# Comparison between the algorithms

As shown by Boykov et al. [2] both algorithms can be used to find strong local minima of a fairly general class of objective functions:

- The swap-move algorithm can optimize any objective function that is a *semi-metric*.
- The expansion move-algorithm can optimize any objective function that is a *metric*.
- When applicable, the expansion move algorithm is guaranteed to produce results that are within a known factor of the global minimum.

## Example results



**Figure 3:** Restoration example by Boykov et al. (a) Original image. (b) Original image corrupted by noise. (c) Local minimum with respect to standard moves. (d) Local minimum with respect to expansion moves.



## Example results

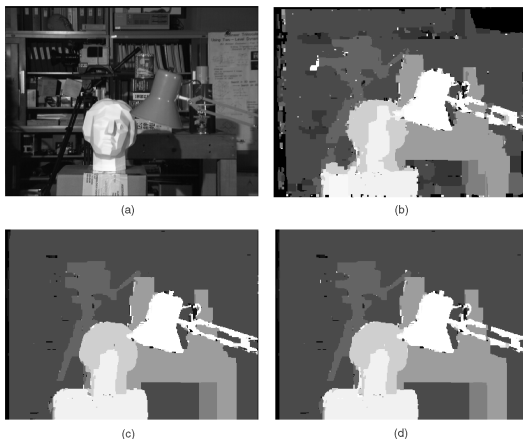


Figure 4: Stereo disparity example by Boykov et al. (a) One image from a stereo pair. (b) Disparity result, simulated annealing. (c) Disparity result, swap algorithm. (d) Disparity result, expansion algorithm.

# Summary

- Via the swap-move and expansion-move algorithms presented here, minimum graph cuts can be used to solve a fairly wide class of combinatorial optimization problems typically occurring in image processing.
- The solutions are guaranteed to be locally optimal, in a strong sense.
- In contrast to “standard” minimum cuts, these techniques can solve labeling problems involving more than two labels. The number of labels must still be finite.

# References

- [1] Ravindra K Ahuja, Özlem Ergun, James B Orlin, and Abraham P Punnen.  
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- [2] Yuri Boykov, Olga Veksler, and Ramin Zabih.  
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